Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

DESIGNATED/ELECTED	40201									
CONCERNING A SUBMISSIO	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TBD									
INTERNATIONAL APPLICATION NO. PCT/JP2004/015308	INTERNATIONAL FILING DATE 08/10/2004	PRIORITY DATE CLAIMED 09/10/2003								
TITLE OF INVENTION										
METHOD FOR MAKING JUNCTION AND PROCESSED MATERIAL FORMED USING THE SAME APPLICANT(S) FOR DO/EO/US										
SASAKI, Yuichiro; JIN, Cheng-Guo; MIZUNO, Bunji										
pplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items con	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. Light This is a SECOND or SUBSEQUENT su	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin nation (5), (6), (9) and (21) indicated below.										
4. The US has been elected (Article 31).	The US has been elected (Article 31).									
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).									
b. A has been communicated by	b. 🚺 has been communicated by the International Bureau.									
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached hereto.										
7. Amendments to the claims of the Inter	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. are attached hereto (requir	a are attached hereto (required only if not communicated by the International Bureau).									
b. have been communicated	b. have been communicated by the International Bureau.									
c. have not been made; howe	c. have not been made; however, the time limit for making such amendments has NOT expired.									
d. 🛂 have not been made and w	d. ☑ have not been made and will not be made.									
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s)										
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98. and Form	PTO-1449								
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. A preliminary amendment.	A preliminary amendment.									
14. An Application Data Sheet under 37 C	An Application Data Sheet under 37 CFR 1.76.									
15. A substitute specification.	A substitute specification.									
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.									
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy of the published Intern	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
Certificate of E	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Certificate of Express Mail; Copy of International Search Report; Copies of publications cited in specification; Return Receipt Postcard									
20. Other items or information:										

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
TBD 10/574863 PCT/2004/015308				40201				
The following fees have been submitted					CALCULATIONS \$	PTO USE ONLY		
	21.							
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200.00		
All other situations\$200								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00			
TOTAL OF 21, 22 and 23 =					\$ 900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets		ra Sheets	Number of eac	th additional 50 or fraction up to a whole number)	RATE			
32 - 100 =	0	/50 =	0		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$ 0.00		
CLAIMS		NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		22	- 20 =	2	× \$50	\$ 100.00		
Independent clair	ms	4	- 3 =	1	x \$200	\$ 200.00		
MULTIPLE DEP	ENDE	NT CLAIM(S	i) (if applicable)		+ \$360	\$ 360.00		
TOTAL OF ABOVE CALCULATIONS =					\$ 1560.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.								
SUBTOTAL =					\$ 1560.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00			
TOTAL NATIONAL FEE =					\$ 1560.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 0.00		
TOTAL FEES ENCLOSED =					EES ENCLOSED =	\$ 1560.	00	
					Amount to be refunded:	\$		
						Amount to be charged:	\$	
a. A check in the amount of \$ 1560.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0820 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Customer Number: 52054  Jeffrey J. Sopko							<i>y</i> - <i>C</i>	
					NAME			
	27676 REGISTRATION NUMBER							

## 10/574863 IAPS REC'DIVENTO 06 APR 2006

## **CERTIFICATE OF EXPRESS MAIL**

Appl. No.

TBD

**Applicant** 

Yuichiro Sasaki et al.

Filed

Herewith

Title

"METHOD FOR MAKING JUNCTION AND PROCESSED

MATERIAL FORMED USING THE SAME"

Confirmation No.

TBD

TC/A.U. Examiner **TBD** 

**TBD** 

International App. No. :

PCT/JP2004/015308

International Flg. Date:

October 8, 2004

Customer No.

52054

Docket No.

40201

"Express Mail" mailing label number \_EV 740230757 US

Date of Deposit April 6, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Virginia Naughton

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